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| APPLICATION NO.   | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|---|-----------------|----------------------|-------------------------|------------------|
| 09/975,335 10/11/2001   |                 | Mingming Fang        | 00042                   | 9775             |
| 29050   | 7590 11/19/2003 |                      | EXAMINER                |                  |
| PHYLLIS T. TURNER-BRIM, ESQ., LAW DEPARTMENT CABOT MICROELECTRONICS CORPORATION |                 |                      | UMEZ ERONINI, LYNETTE T |                  |
| 870 NORTH COMMONS DRIVE   |                 |                      | ART UNIT                | PAPER NUMBER     |

DATE MAILED: 11/19/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | 1  |   | <del></del>   |  |  |  |  |
|---|--|---|---|--|--|--|--|
| Office Action Summary   |  | Applica   | ition No.   | Applicant(s)   |  |  |  |
|   |  | 09/975  | 09/975,335 FANG, MINGMING   |  |  |  |  |
|   |  | Examin  | er  | Art Unit   |  |  |  |
|   |  |   | T. Umez-Eronini   | 1765   |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |  |   |   |  |  |  |  |
| THE - Exte after - If the - If NC - Failu - Any   | ORTENED STATUTORY PERIOD FOR MAILING DATE OF THIS COMMUNICA solons of time may be available under the provisions of 33 SIX (6) MONTHS from the mailing date of this communic period for reply specified above is less than thirty (30) day period for reply is expected above in the maximum statutor reto reply within the set or extended period for reply will, eply received by the Office later than three months after the diplatent term adjustment. See 37 CFR 1.704(b). | TION. 7 CFR 1.136(a). In no ation. lys, a reply within the s ry period will apply and | event, however, may a reply l<br>tatutory minimum of thirty (30<br>will expire SIX (6) MONTHS | be timely filed ) days will be considered timely. from the mailing date of this communication. |  |  |  |
| 1)[   | Responsive to communication(s) filed   | on <u>15 August 20</u>  | <u>003</u> .  |  |  |  |  |
| 2a)⊠  | This action is <b>FINAL</b> . 2b)  | ☐ This action   | is non-final.   |  |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. <b>Disposition of Claims</b> |  |   |   |  |  |  |  |
| 4)🖂   | 4) Claim(s) 1.3-11 and 25-33 is/are pending in the application.  |   |   |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.  |  |   |   |  |  |  |  |
| 5) Claim(s) is/are allowed.   |  |   |   |  |  |  |  |
| 6)⊠ Claim(s) <u>1,3-11 and 25-33</u> is/are rejected.   |  |   |   |  |  |  |  |
| 7)  | Claim(s) is/are objected to.   |   |   |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or election requirement.   |  |   |   |  |  |  |  |
| Applicati   | on Papers  |   |   |  |  |  |  |
| 9)[   | The specification is objected to by the Ex   | caminer.  |   |  |  |  |  |
| 10)   | The drawing(s) filed on is/are: a)[  | accepted or b)  | objected to by the E  | xaminer.   |  |  |  |
|   | Applicant may not request that any objection   | on to the drawing(  | s) be held in abeyance  | . See 37 CFR 1.85(a).  |  |  |  |
| 11) 🔲 🗀   | The proposed drawing correction filed on   | ı is: a)□   | approved b)⊡ disap  | proved by the Examiner.  |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.  |  |   |   |  |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.   |  |   |   |  |  |  |  |
| Priority u  | nder 35 U.S.C. §§ 119 and 120  |   |   |  |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |  |   |   |  |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:  |  |   |   |  |  |  |  |
|   | 1. Certified copies of the priority doc  | uments have be  | en received.  |  |  |  |  |
|   | 2. Certified copies of the priority documents have been received in Application No   |   |   |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).   |  |   |   |  |  |  |  |
| <ul> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> <li>14)□ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).</li> </ul>     |  |   |   |  |  |  |  |
| a)  | ☐ The translation of the foreign langua  | ge provisional a  | pplication has been   | received.  |  |  |  |
|   | cknowledgment is made of a claim for d   | omestic priority  | under 35 U.S.C. §§ 1  | 120 and/or 121.  |  |  |  |
| Attachment  | ,  |   | _   |  |  |  |  |
| 2) 🔲 Notice   | of References Cited (PTO-892)<br>of Draftsperson's Patent Drawing Review (PTO-9<br>lation Disclosure Statement(s) (PTO-1449) Paper I   |   |   | nary (PTO-413) Paper No(s)<br>nal Patent Application (PTO-152)                                 |  |  |  |

### DETAILED ACTION

#### Election/Restrictions

 Applicant's election without traverse of claims 1-11 in Paper No. 8/15/2003 is acknowledged.

## Specification

2. The disclosure is objected to because of the following informalities: "(d) an additive of the formula (as disclosed in [0006, 0007, 0013 and 0032]) wherein  $R^1$  is a carboxyl group and  $R^2$  is a phosphono group lacks an example of a such a compound having the said formula.

Appropriate correction is required.

## Claim Rejections - 35 USC § 112

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claims 1, 3-11, and 25-33 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. "(d) an additive of the formula (as

specified in claim 1) wherein  $R^1$  is a carboxyl group and  $R^2$  is a phosphono group" is not supported by the reference. The Specification has failed to disclose an example of a compound having the said formula where  $R^1$  is a carboxyl group and  $R^2$  is a phosphono group.

## Response to Arguments

5. Applicant's arguments with respect to claims 1, 3-11, and 25-33 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lynette T. Umez-Eronini whose telephone number is 703-306-9074. The examiner is normally unavailable on the First Friday. After December 11, 2003, the examiner may be reached at 571-272-1470.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nadine Norton can be reached on 703-305-2667. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Itue

November 11, 2003

NADINE G. NORTON SUPERUISOR PRIMARY EXAMINER